

# Building Climate Resilience for Small Wastewater Systems

---

September 29<sup>th</sup> , 2022



# Environmental Finance Center Network (EFCN)

---

**Network of university-based centers across the U.S. building local capacity to address environmental management needs**

<https://efcnetwork.org>

- Adaptation & Resiliency Planning
- Asset Management
- Community Engagement
- Data Collection & Analysis
- Maintenance Practices
- Disseminating Information
- Fiscal Planning
- GIS Programming/Planning
- Infrastructure Funding & Planning
- Infrastructure Planning & Design
- Operator Training & Certification
- Management/Board Support
- Rate Payer/Citizen support
- Sustainability & Resiliency
- Partnerships & Collaboration
- Water Efficiency & Reuse
- Work Force Development

- Housed in the Office of Water Programs (OWP) at Sac State
  - Manager & Operator Training, 50+ years
  - Applied Research & Tech & Managerial Support, 20+years
- Providing TMF expertise and tools to the water industry



<https://www.owp.csus.edu/>

<https://www.efc.csus.edu/>

## EFC at Sacramento State:

<http://www.efc.csus.edu>

## Contact:

[Maureen.Kerner@owp.csus.edu](mailto:Maureen.Kerner@owp.csus.edu)



## EFC Network:

<https://efcnetwork.org/>

## Technical Assistance Requests

<https://efcnetwork.org/assistance/request-assistance/>

# Defining Resiliency

---

- What does it mean for a wastewater system to be climate resilient?
- Continuing to do the following as climate conditions change:
  - Produce effluent that protects public and environmental health
  - Meet changing effluent regulations
  - Have robust plan for facility upgrades and workforce development



# Challenges Facing Wastewater Systems

---

- Climate change
- Aging infrastructure
- Stricter effluent standards
- Lack of funding

# Challenges Facing Wastewater Systems

---

- Climate change
- Aging infrastructure
- Stricter effluent standards
- Lack of funding

All made more challenging by small systems'

- Limited financial capacity
- Lack of resources/staff to acquire funding
- Limited staff capacity
- Staff acquisition and retention

# Impacts of Climate Change on Wastewater Systems

---

- More severe storms
- More frequent storms
- Reduced flows due to water conservation
- Warmer temperatures
- Groundwater table rise
- Sea level rise



# Impacts of Climate Change on Wastewater Systems

---

What are the specific impacts on each of the main components?

- Collection Systems
- Pumping Stations
- Treatment Facilities



# Impacts on Collection Systems

---

<b>Increased Storm Severity and Frequency</b>	<b>Reduced Flows/ Drought</b>	<b>Rise of Sea-level or Groundwater Table</b>	<b>Increased Temperature</b>
<ul style="list-style-type: none"><li>• Increased SSOs</li><li>• Increased breakages and blockages</li></ul>	<ul style="list-style-type: none"><li>• Increased corrosion and odor due to increased concentrations and longer retention times</li><li>• Increased blockages and deposition</li><li>• Root intrusion damaging pipes</li></ul>	<ul style="list-style-type: none"><li>• Floating pipes causing cracking</li><li>• Infrastructure damage due to corrosion, erosion and inundation</li></ul>	<ul style="list-style-type: none"><li>• Increased odors due to increased biological and chemical transformations</li></ul>

# Impacts on Pumping Stations

---

<b>Increased Storm Severity and Frequency</b>	<b>Reduced flows due to Drought</b>	<b>Rise of Sea-level or Groundwater Table</b>	<b>Increased Temperature</b>
<ul style="list-style-type: none"><li>• Increased overflows</li></ul>	<ul style="list-style-type: none"><li>• Increased corrosion and odor due to increased concentrations and longer retention times</li></ul>	<ul style="list-style-type: none"><li>• Infrastructure damage due to corrosion and inundation</li><li>• Reduction of service area from flooding</li></ul>	<ul style="list-style-type: none"><li>• Blockages due to behavior changes (flushable wipes)</li></ul>

# What Are The Implications?

---

- Increase O&M spending
  - Flushing, inspection, SSOs, odor complaints
- Increased pumping energy and chemical costs
- Infrastructure repairs and replacements
  - Pipes, manholes, pump stations



# What Can You Do?

---

- Increase O&M spending
    - Flushing, inspection, SSOs, odor complaints
  - Increased pumping energy and chemical costs
  - Infrastructure repairs and replacements
    - Pipes, manholes, pump stations
- 
- Fund Infrastructure Improvement
  - Staff Capacity
  - Develop Efficient O&M Plan

# Impacts on Wastewater Treatment Plants

---

Increased Storm Severity and Frequency	Reduced flows due to Drought	Rise of Sea-level or Groundwater Table	Increased Temperature
<ul style="list-style-type: none"><li>• More frequent bypassing</li><li>• Stresses design capacity</li><li>• Fluctuation in key process elements (e.g. retention times)</li><li>• Washout of biomass in biological treatment systems</li></ul>	<ul style="list-style-type: none"><li>• Increased influent strength upsets biological processes</li><li>• More difficult to treat to effluent quality standards</li></ul>	<ul style="list-style-type: none"><li>• Infrastructure damage due to flooding</li><li>• Increases difficulty of sludge management and dewatering</li><li>• Increased pumping to outfall heads</li></ul>	<ul style="list-style-type: none"><li>• Alters performance of biological processes</li><li>• Increased odor production</li></ul>

# What Are The Implications?

---

- Stresses aging infrastructure
- Need for additional infrastructure
  - overflow storage, bypass
- Periodic or permanent process adjustments
- Increased pumping energy costs



# What Can You Do?

---

- Stresses aging infrastructure
  - Need for additional infrastructure
    - overflow storage, bypass
  - Periodic or permanent process adjustments
  - Increased pumping energy costs
- 
- Fund Infrastructure Improvement
  - Facility Upgrades/New Treatment Technologies
  - Operator Training
  - Develop robust O&M plan

# Building Resiliency

---

All of these actions can be incorporated into a climate resiliency plan:

- Fund infrastructure improvement
- Fund facility upgrades and new treatment technologies
- Develop efficient, flexible O&M plans
- Operator Training
- Improve staff capacity

# Developing a Climate Resiliency Plan

---

# What Impacts Can Your Facility Expect?

---

- Climate change impacts will be location dependent
- Severity of risk will also depend on:
  - Age of your system
  - Financial Capacity
  - Staff Capacity



# Developing a Climate Resiliency Plan

---

**Step 1:** Identify and Understand the specific challenges your facility will face

**Step 2:**  
Understanding the risk of climate impacts

**Step 3:** Identify adaptation actions and develop a plan

# Available Resources

---

- Creating Resilient Water Utilities (CRWU) Initiative – EPA tool that provides utilities with tools, training, and technical assistance needed to increase resilience to climate change
  - Resilient Strategies Guide
  - Climate Resilience and Awareness Tool (CREAT)
  - Case Study Map
  - Data Services and Map
  - Training
  - Technical Assistance



# Available Resources

---

- Creating Resilient Water Utilities (CRWU) Initiative – EPA tool that provides utilities with tools, training, and technical assistance needed to increase resilience to climate change
  - Resilient Strategies Guide
  - Climate Resilience and Awareness Tool (CREAT)
  - Case Study Map
  - Data Services and Map
  - Training
  - Technical Assistance

Resources to help with all steps from developing to implementing a climate resiliency plan!



# Getting Started

- [Resilient Strategies Guide](#): Introduces utilities to the adaptation planning process. Based on the experiences of other utilities adapting to climate change.
- Helps to identify the following:
  - planning priorities
  - vulnerable assets
  - potential adaptation strategies
  - available funding sources

Summary	
State/Territory:	California
Utility Type:	Wastewater / Stormwater
Population Served:	Small (less than 10,000)
Priorities	7 selected +
Assets	11 selected +
Strategies	12 selected +
Funding Sources	6 selected -
	<ul style="list-style-type: none"><li>• Water &amp; Waste Disposal Revolving Loan Funds</li><li>• Water and Waste Disposal Guaranteed Loan Program</li><li>• Water Infrastructure Finance and Innovation Act (WIFIA) Program Loans</li><li>• WaterSMART Drought Response Program: Drought Contingency Planning</li><li>• WaterSMART Drought Response Program: Drought Resiliency Projects</li><li>• WaterSMART Grants: Water and Energy Efficiency Grants</li></ul>

# Climate Resilience Evaluation and Awareness Tool (CREAT)

- CREAT assists water sector utilities in assessing climate-related risks to utility assets and operations.
- Five modules help users consider climate impacts and identify adaptation options

 CLIMATE AWARENESS	<b>MODULE 1:</b> Input basic utility information and review a regional map for building climate awareness. <i>Chapter 3</i>
 SCENARIO DEVELOPMENT	<b>MODULE 2:</b> Select and define threat scenarios based on available climate data at your location. <i>Chapter 4</i>
 CONSEQUENCES & ASSETS	<b>MODULE 3:</b> Review economic values provided based on your utility location. Define critical assets that provide value to your system. <i>Chapter 5</i>
 ADAPTATION PLANNING	<b>MODULE 4:</b> Define adaptation plans that include potential measures that would reduce consequences of threats. <i>Chapter 6</i>
 RISK ASSESSMENT	<b>MODULE 5:</b> Select economic consequence levels for each asset/threat pair and review risk assessments. <i>Chapter 7</i>

# Climate Resilience Evaluation and Awareness Tool (CREAT)

- User Inputs:
  - Basic utility information
  - Asset inventory

\*You can look at one asset/threat combination at a time by doing a streamlined analysis outlined in the user guide

 CLIMATE AWARENESS	<b>MODULE 1:</b> Input basic utility information and review a regional map for building climate awareness. <i>Chapter 3</i>
 SCENARIO DEVELOPMENT	<b>MODULE 2:</b> Select and define threat scenarios based on available climate data at your location. <i>Chapter 4</i>
 CONSEQUENCES & ASSETS	<b>MODULE 3:</b> Review economic values provided based on your utility location. Define critical assets that provide value to your system. <i>Chapter 5</i>
 ADAPTATION PLANNING	<b>MODULE 4:</b> Define adaptation plans that include potential measures that would reduce consequences of threats. <i>Chapter 6</i>
 RISK ASSESSMENT	<b>MODULE 5:</b> Select economic consequence levels for each asset/threat pair and review risk assessments. <i>Chapter 7</i>

# Climate Resilience Evaluation and Awareness Tool (CREAT)

---

Outputs of CREAT's analysis:

- Climate Awareness Report – summarizes potential future climate conditions and impacts
- Scenario Development Report – lists each scenario and the associated threats as defined in the assessment
- Consequences and Assets Report – includes economic consequences matrix, a list of the assets defined, and summary information on the regional economic and public health consequences
- Adaptation Planning Report – details each adaptation plan with the cost of each adaptive measure included in the plans
- Plan Report – includes the results of the risk assessment for each specific adaptation plan

# Climate Resilience Evaluation and Awareness Tool (CREAT)

---

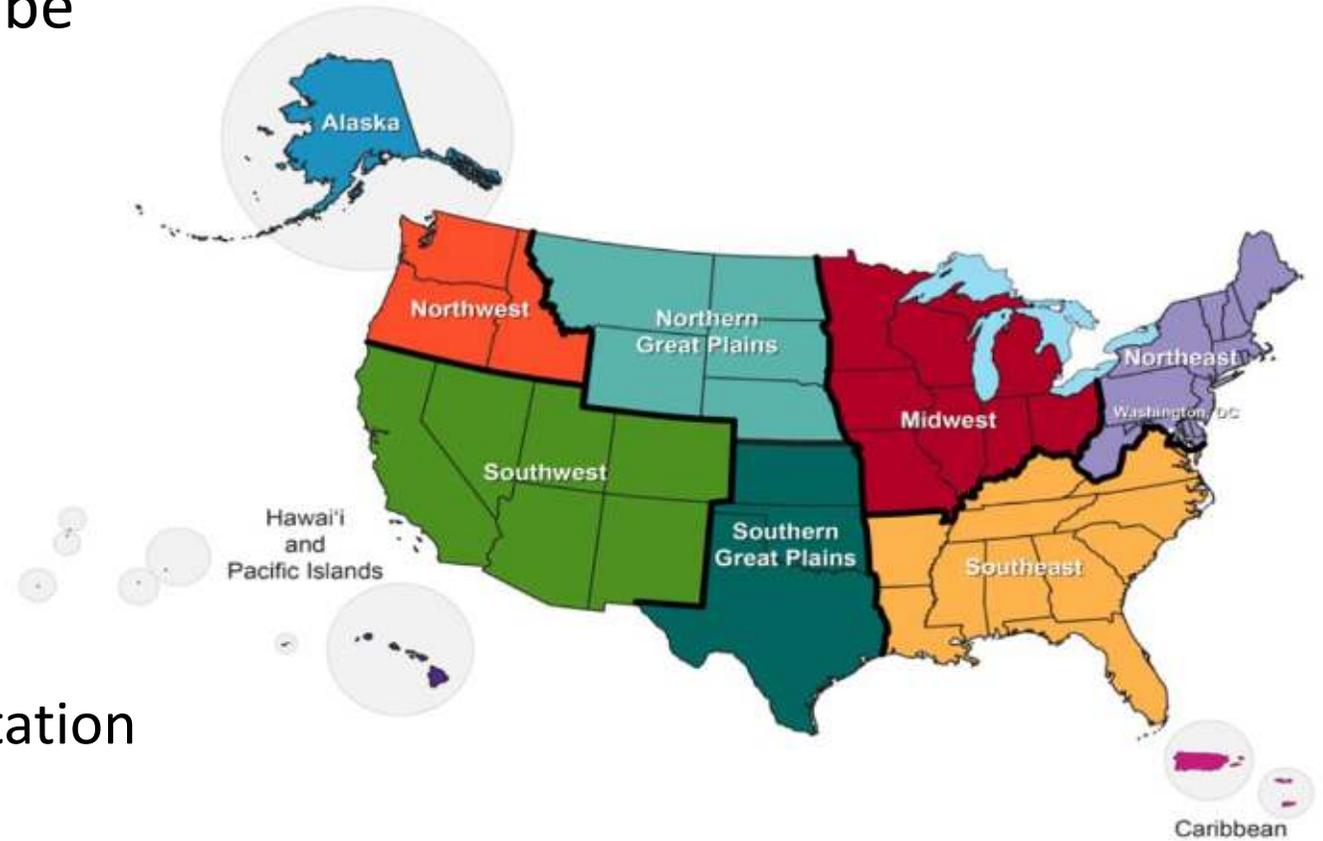
Goals of CREAT's analysis:

- Increase operator awareness of potential climate change impacts on utility operations
- Determine threshold levels for asset failures and resulting consequences
- Quantify potential consequences from climate-related or other threats
- Inform adaptation decision-making based on identified threats to reduce associated impacts
- Examine the cost adaptation options in comparison to the cost associated with consequences of climate change threats

# Training Center

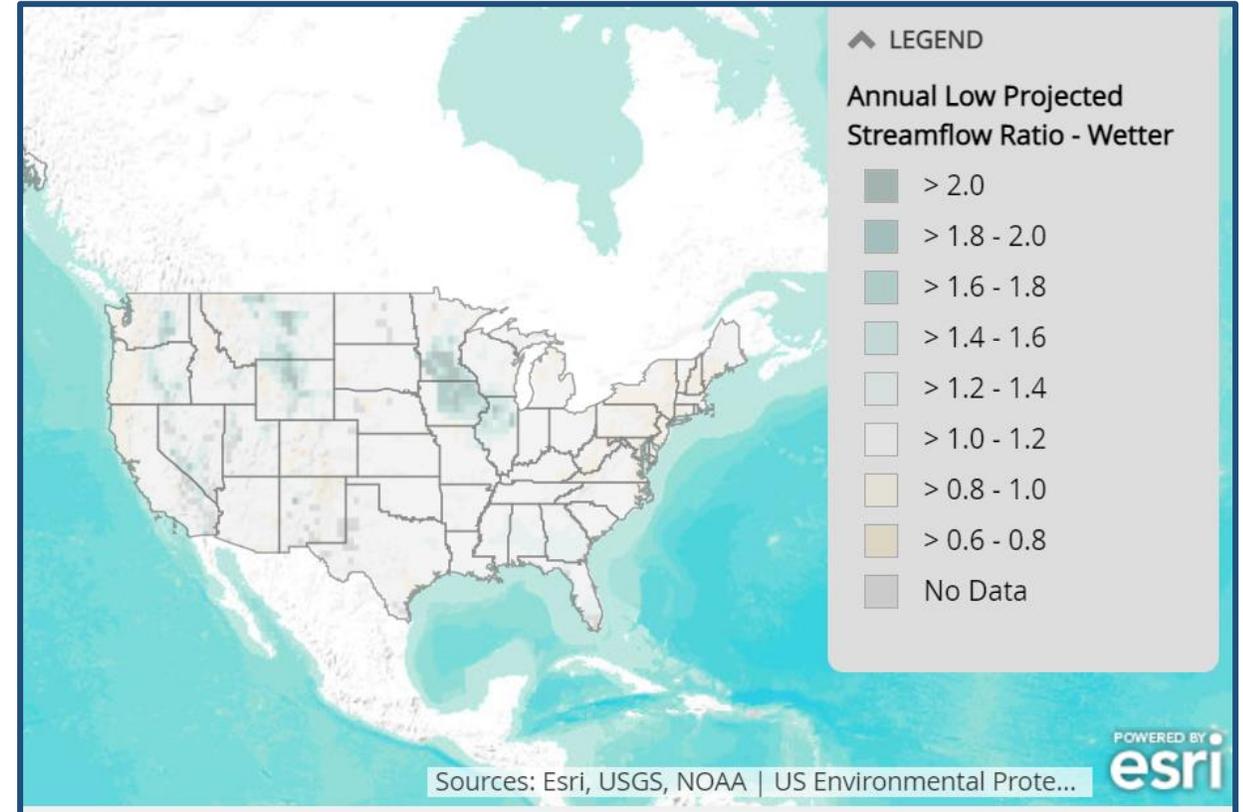
---

- Region specific training modules that cover the following topics can be found [here](#)
  - Overview of CRWU tools and resources
  - Climate Awareness
  - Scenario Development
  - Adaptation Plan
  - Risk Assessment
  - Resources for financing adaptation options



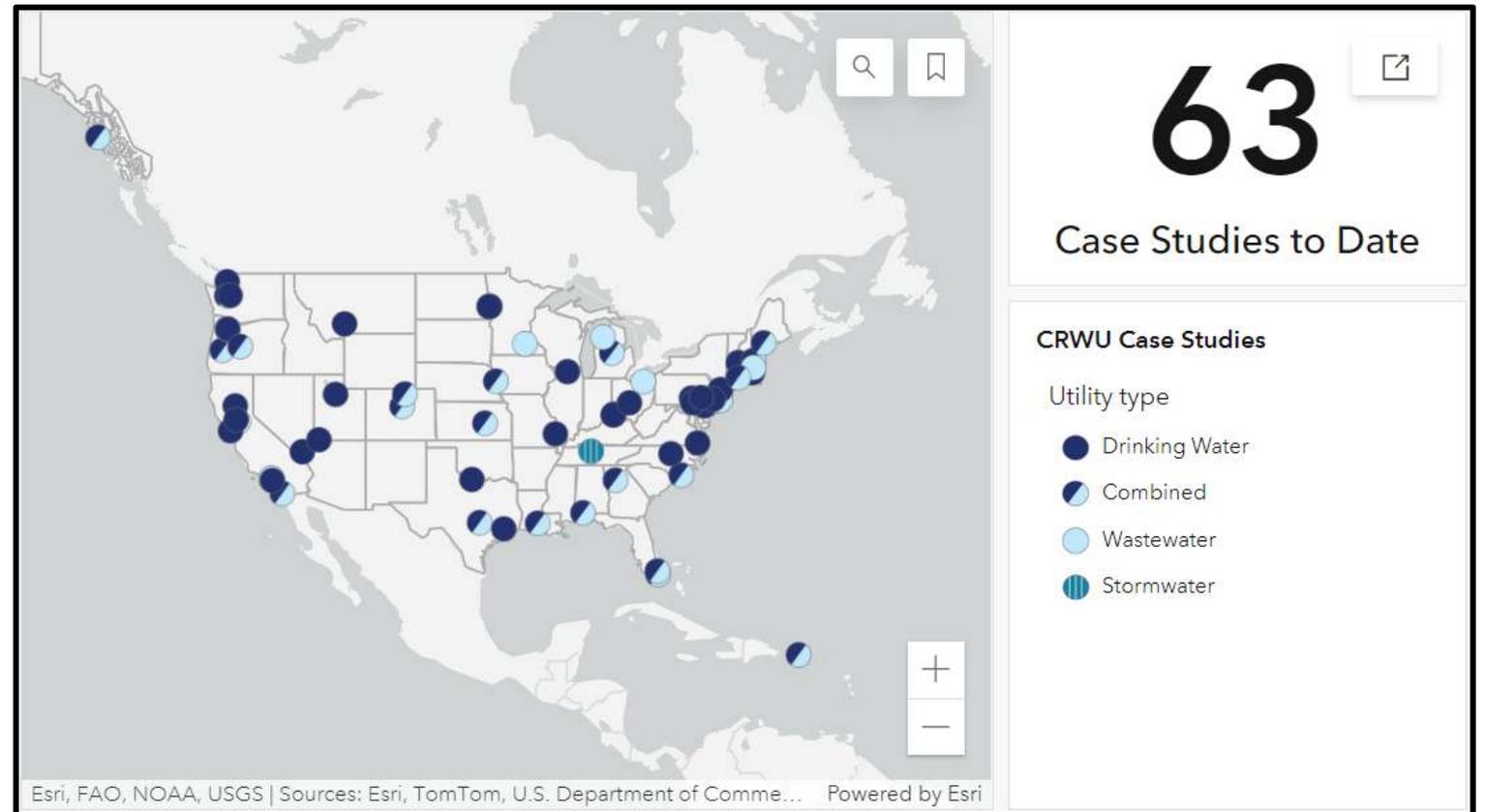
# Climate Data Maps

- Interactive maps that help bring help you assess your utilities risk for:
  - Climate Change Scenarios
  - Coastal Floods and Hurricanes
  - Streamflow Projections
  - Wildfire Conditions and Risk
  - Snowpack Change



# Case Study Maps

- [Link](#) to interactive map
- Case studies for how other water systems adapted to climate change



# U.S. Climate Resiliency Tool Kit

- Less specific to water
- Additional resources for risk assessment, climate data, training, and technical assistance



# U.S. Climate Resiliency Tool Kit

---

- Steps to resilience: five short modules that help you:
  - Document climate hazards
  - Decide which situations you most want to avoid
  - Come up with solutions to reduce climate-related risk

1 Understand Exposure

2 Assess Vulnerability & Risk

3 Investigate Options

4 Prioritize & Plan

5 Take Action

# U.S. Climate Resiliency Tool Kit

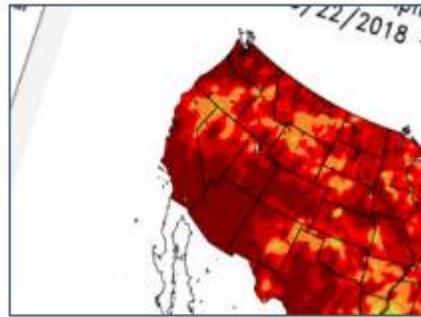
- Interactive map
- Can filter by:
  - Climate threat
  - Topic (e.g. water)
  - Region
  - Steps to resilience



# U.S. Climate Resiliency Tool Kit

---

- Offer a “tool box”
- Can filter by:
  - Climate threat
  - Topic (e.g. water)
  - Region
  - Steps to resilience



## ACIS Climate Maps

Quickly generate maps of temperature and precipitation variables over various periods for states or regions of the United States.



## Coastal Change Analysis Program (C-CAP) Land Cover Atlas

Examine land cover classifications within coastal regions and how they changed from 1996 to 2010.

[Read more >](#)



## Coastal Inundation Dashboard

This dashboard brings water level data—including real-time, forecasts, storm surge, and historic flooding information—from over 200 coastal stations to your desktop.

[Read more >](#)

# U.S. Climate Resiliency Tool Kit

- Technical and education resources



**FIND EXPERTS >**  
Locate climate science and service centers that can help you build resilience



**REPORTS >**  
Access climate-relevant reports issued by government agencies and scientific organizations



**TRAINING COURSES >**  
Learn about new tools or build your knowledge and skills to manage climate-related risks and opportunities



**STATE CLIMATE SUMMARIES >**  
Find state-level climate information from NOAA's National Centers for Environmental Information

# Implementing a Climate Resiliency Plan

---

# Helping You Put Your Plan In Action

---

**Securing Funding**

**Technical Assistance**

**Workforce  
Development**

# Finding Funding

---

- Most comprehensive list of sources identified by CRWU Resilient Strategies Guide
  - State/region specific
- An overview of national funding sources to follow



Clean Water  
State Revolving Fund



FEMA

WIFIA  
PROGRAM



NATIONAL  
RURAL WATER  
ASSOCIATION

*America's Largest Utility Membership*



Rural Development  
U.S. DEPARTMENT OF AGRICULTURE

# Funding

Source	Description	Funding Type
Clean Water State Revolving Fund	Wide-range of eligible projects to improve resiliency, strengthen and protect water infrastructure, energy and water efficiency upgrades. More information can be found <a href="#">here</a> .	Low-rate loan
Water Infrastructure Financing and Innovation Authority (WIFIA) Funding	Federal credit program administered by EPA for eligible water and wastewater infrastructure projects. Any project eligible through CWSRF is also eligible through WIFIA. More information can be found <a href="#">here</a> .	Low-rate loan
Federal Emergency Management Agency (FEMA) – Building Resilient Infrastructure and Communities	Eligible projects include hazard mitigation projects to reduce risks from disasters and natural hazards. More information can be found <a href="#">here</a> . Application period for FY 2022 opens September 30 <sup>th</sup>	Grant

# Funding

---

For rural systems:

Source	Description	Funding Type
National Rural Water Association (NRWA) – Rural Water Loan Fund	Federal credit program administered by EPA for eligible water and wastewater infrastructure projects. Any project eligible through CWSRF is also eligible through WIFIA. More information can be found <a href="#">here</a> .	Low-rate loan
U.S Department of Agriculture (USDA) Rural Development Grants	Did not find currently open funding, but always a good place to check. Partnering with EPA for <a href="#">Closing America’s Wastewater Access Gap Community Initiative</a> . Still in pilot phase but more opportunities may come.	Grant

# Technical Assistance

- The EFCN Network!
  - Can help you address all aspects of resiliency: climate, financial planning, operator training, etc.
  - A request for TA can be filled out on the EFCN [website](#)



# Technical Assistance

---

- Rural Community Assistance Corporation (RCAC)
- Training, technical assistance, and financial assistance to small, low-income communities in rural areas and tribes
- Assistance in building, improving, managing, and operating wastewater systems
- More information [here](#).



# Workforce Development

---

- Operator training offered through the EFCN network
- Training for rural communities via RCAC
- Bank of free online training materials for operators and managers from the U.S. Climate Resiliency Toolkit



# Other EPA Training Resources

---

- [Water Utility Workshops and Webinars](#) – register for upcoming trainings and view past recordings
- [Water and Wastewater Utility All-Hazards Bootcamp](#) – Training course designed for water and wastewater employees responsible for emergency response and recovery activities
- [Community-Based Water Resiliency Training](#) – Resources to conduct a water resiliency workshop in your community with a focus on integrating drinking water, wastewater, and stormwater systems

# Questions

---

Contact us:

EFC at Sacramento State:

<http://www.efc.csus.edu>

Caitlyn Leo

caitlyn.leo@owp.csus.edu